

# PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

## PCT

NOTIFICATION RELATING TO  
DECLARATION MADE UNDER PCT RULE 4.17

(PCT Rules 26ter.2(b), 47.1(a-ter) and 48.2(a)(x)  
and Administrative Instructions, Section 419)

To:

DEGUSSA AG  
Intellectual Property Management  
PATENTE und MARKEN Eingang bei  
Standort Hanau  
Postfach 13 45  
63403 Hanau  
ALLEMAGNE

Intellectual Property  
Management

27. SEP. 2004

Standort Wolfgang

Date of mailing (day/month/year) 08 September 2004 (08.09.2004)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 010315 FH	
International application No. PCT/EP2004/006719	International filing date (day/month/year) 22 June 2004 (22.06.2004)
Applicant DEGUSSA AG	

- The applicant is hereby notified of the following regarding the declaration indicated below in respect of  
(name(s) indicated in the declaration) NEUGEBAUER, Peter @
  - ☐ declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i) and Section 211)
  - ☐ declaration as to the applicant's entitlement, as at the international filing date, to apply for or be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212)
  - ☐ declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii) and Section 213)
  - ☒ declaration of inventorship (for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51bis.1(a)(iv) and Section 214)
  - ☐ declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215)
- ☒ **Addition or correction of the declaration within the time limit under Rule 26ter.1.**  
  
 The added or corrected declaration was received on (date), 09 July 2004 which was received within the time limit under Rule 26ter.1.  
  
 Any declaration referred to under items 1(i) to (iv) whether or not the declaration complies with Rule 4.17, will be communicated to the designated Offices concerned pursuant to Rule 47.1(a-ter) and any declaration referred to under item 1(v) will be published as part of the pamphlet pursuant to Rule 48.2(a)(x).
- ☐ **Failure to add or correct the declaration within the time limit under Rule 26ter.1.**  
  
 The declaration, was received on (date) \_\_\_\_\_, which was after the expiration of the time limit under Rule 26ter.1; therefore, any such declaration referred to under items 1(i) to (iv) will not be communicated to the designated Offices concerned, any such declaration referred to under item 1(v) will not be published as part of the pamphlet, and any signed declaration referred to under item 1(iv) is attached. Such declaration should be submitted by the applicant directly to the designated Offices concerned.
- The applicant's attention is drawn to Rule 51bis.2 which provides that the designated Office shall not, unless it may reasonably doubt the veracity of the declaration concerned, require any document or evidence relating to the subject matter of any declaration complying with Rule 4.17(i) to (iv) which is contained in the request or submitted to the International Bureau or directly to the designated Office. Note, however, that Rule 51bis.2 may not apply in respect of certain States. For further information, see Notes to the request form, Box No. VIII.
- A copy of this notification is being sent to the receiving Office and the International Searching Authority.

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer  
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Original (for SUBMISSION )

VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America)  Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to international application PCT/EP2004/006719 (if furnishing declaration pursuant to Rule 26ter).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

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		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1-1-1	Name (LAST, First)	NEUGEBAUER, Peter
VIII-4-1-1-2	Residence: (city and either US State, if applicable, or country)	Offenbach, Germany
VIII-4-1-1-3	Mailing address:	Landgrafenstrasse 22 D-63071 Offenbach Germany
VIII-4-1-1-4	Citizenship:	DE
VIII-4-1-1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	29. June 2004

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VIII-4-1-2-1	Name (LAST, First)	<b>HASENZAHL, Steffen</b>
VIII-4-1-2-2	Residence: (city and either US State, if applicable, or country)	<b>Hanau, Germany</b>
VIII-4-1-2-3	Mailing address:	<b>Hochstädter Landstrasse 113 D-63454 Hanau Germany</b>
VIII-4-1-2-4	Citizenship:	<b>DE</b>
VIII-4-1-2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>Steffen Hanzahl</i>
VIII-4-1-2-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	<i>30 June 2004</i>
VIII-4-1-3-1	Name (LAST, First)	<b>DREXEL, Claus-Peter</b>
VIII-4-1-3-2	Residence: (city and either US State, if applicable, or country)	<b>Neu-Isenburg, Germany</b>
VIII-4-1-3-3	Mailing address:	<i>Eichendorffstr. 16</i> <del>Offenbacher Strasse 55</del> D-63263 Neu-Isenburg Germany
VIII-4-1-3-4	Citizenship:	<b>DE</b>
VIII-4-1-3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>Claus Peter Drexel</i>
VIII-4-1-3-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	<i>06.07.2004</i>
VIII-4-1-4-1	Name (LAST, First)	<b>MEYER, Jürgen</b>
VIII-4-1-4-2	Residence: (city and either US State, if applicable, or country)	<b>Stockstadt, Germany</b>
VIII-4-1-4-3	Mailing address:	<b>Großostheimer Strasse 51 D-63811 Stockstadt Germany</b>
VIII-4-1-4-4	Citizenship:	<b>DE</b>
VIII-4-1-4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>Jürgen Meyer</i>
VIII-4-1-4-6	Date (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	<i>30 June 2004</i>